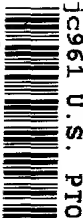


06/07/01



Jc961 U.S. PTO

06-11-01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket  
No.

KLR 1016.068

First Inventor or Application  
IdentifierJohn Dunklee;  
60/230,212

Title

CHUCK FOR HOLDING A DEVICE UNDER TEST

Express Mail Label No.

EL744771404US

J1036 U.S. PTO  
09/8/7823

06/07/01

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents & Trademarks  
Box Patent Application  
Washington, D.C. 20231

- 1.
- ☒
- \*Fee Transmittal Form (e.g. PTO/SB/17)

(Submit an original and a duplicate for fee processing)

- 5.
- ☐
- Microfiche Computer Program (Appendix)

- 2.
- ☒
- Specification

Total pages 47

6. Nucleotide and/or Amino Acid Sequence Submission
- 
- (if applicable, all necessary)

(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Federally Sponsored Research
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- a.
- ☐
- Computer readable copy

- b.
- ☐
- Paper copy (identical to computer copy)

- c.
- ☐
- Statement verifying identity of above copies

- 3.
- ☒
- Drawing(s) (35 USC 113)

[Total Pages 12]

4. Oath or Declaration

[Total Pages 3]

- a.
- ☒
- Newly executed (original or copy)

- b.
- ☐
- Copy from a prior application (37 CFR §1.63(d))
- 
- (for continuation/divisional with Box 16 completed)

- i.
- ☐
- Deletion of Inventor(s)

Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR §§1.53(d)(2) and 1.33(b)**ACCOMPANYING APPLICATION PARTS**

- 7.
- ☒
- Assignment Papers (cover sheet & document(s))

- 8.
- ☒
- 37 CFR §3.73(b) Statement
- ☒
- Power of Attorney
- 
- when there is an assignee

- 9.
- ☐
- English translation document (if applicable)

- 10.
- ☐
- Information Disclosure Statement (IDS) /PTO
- ☐
- Copies of IDS
- 
- Citations
- 
- 1449

- 11.
- ☐
- Preliminary Amendment

- 12.
- ☒
- Return Receipt Postcard (MPEP 503)
- 
- (should be specifically itemized)

- 13.
- ☐
- \*Small Entity Statements
- ☐
- Statement filed in prior
- 
- application.
- 
- (PTO/sb/09-12) Status still proper and desired.

- 14.
- ☐
- Certified Copy of Priority Document(s)
- 
- (if foreign priority is claimed)

- 15.
- ☐
- Other: Acknowledgment Postcard

\* Note for Items 1 & 13: In order to be entitled to pay small  
entity fees, a small entity statement is required (37 CFR §1.27),  
except if one filed in a prior application is relied upon (37 CFR  
§1.28)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:Prior application information: Examiner Melvin S. Brooks Group No./Art Unit \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert customer number or attach bar code label here)

or ☒ Correspondence address below

Name Kevin L. Russell

Address 601 SW Second Ave., Suite 1600

City Portland

State OR

Zip Code

97204-3157

Country USA

Telephone

(503)227-5631

FAX

(503)228-4373

Name (print type)

Kevin L. Russell

Registration No.

38,292

Signature

Date

June 7, 2001

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	60/230,212
Filing Date	Sept. 5, 2000
First Named Inventor	John Dunklee
Examiner Name	
Group/ Art Unit	
Attorney Docket No.	KLR 1016.068

TOTAL AMOUNT OF PAYMENT \$2,256

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number   
Deposit Account Name Chernoff Vilhauer McClung & Stenzel

☐ Charge any additional fee required under 37 CFR 1.16 & 1.17

2. ☐ Payment Enclosed

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					\$710

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
77	-20** = 57	18	1,026
Indep. Claims	9 - 3** = 6	80	480
Multiple Dependent			0

\*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$1,506

3 ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40
146	710	246	355	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 C.F.R. 1.129(b))	
169	900	169	900	Request for Expedited Examination of a Design Application	

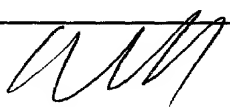
Other (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$40

SUBMITTED BY

Complete (if applicable)

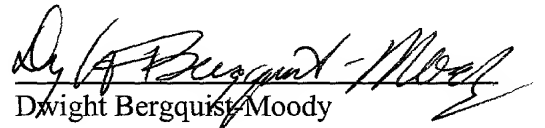
Name (print type)	Kevin L. Russell	Registration No.	38,292	Telephone	(503) 227-5631
Signature				Date	June 7, 2001

**CERTIFICATE OF MAILING  
BY EXPRESS MAIL**

Express Mail No.: EL744771404US

Date of Deposit: June 7, 2001

I hereby certify that the patent application attached hereto entitled **CHUCK FOR HOLDING A DEVICE UNDER TEST**, John Dunklee, inventor, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to, The Honorable Commissioner for Patents and Trademarks, Box Patent Application, Washington, D.C. 20231.

  
Dwight Bergquist-Moody

098783 060701  
F04090 2234860

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE UNDER 37 CFR §3.73(b)

KLR 1016.068

Applicant: John Dunklee

Application No: 60/230,212 Filed: September 5, 2000

Title: **CHUCK FOR HOLDING A DEVICE UNDER TEST**

Cascade Microtech, inc., Assignee, an Oregon corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel\_\_\_\_, Frame\_\_\_\_, or for which a copy thereof is attached.
- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel\_\_\_\_, Frame\_\_\_\_, or for which a copy is attached.
  2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel\_\_\_\_, Frame\_\_\_\_, or for which a copy is attached.
- C. ☐ Additional documents in the chain of title are listed on a supplemental sheet.
- D. ☒ Copies of assignments or other documents in the chain of title are attached. (Where one or more of the documents is unrecorded.)

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

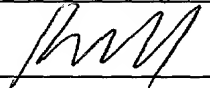
The undersigned (whose title is supplied below) is empowered to sign this Certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of CHUCK FOR HOLDING A DEVICE UNDER TEST or any patent issuing thereon.

Date: June 7, 2001

Name: Kevin L. Russell

Title: Attorney for the Assignee (a copy of the Power of Attorney is attached)

Signature: 

Chernoff, Vilhauer, McClung & Stenzel, LLP  
1600 ODS Tower  
601 S W Second Avenue  
Portland, Oregon 97204  
Tel: (503) 227-5631

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